



GOVERNMENT QUALITY MANAGEMENT COMMITTEE (GQMC)

FY 2016 PBB Grant Results of Validation of the Agency ISO 9001 QMS Certification or ISO-Aligned QMS Documents

(As of December 6, 2016)








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*Acting Director, DBM-SPIB and
GQMC-DBM Secretariat Head*



AO 25 IATF PBB Guidelines

FY 2015 (MC 2015-1 dated August 12, 2015)	FY 2016 (MC 2016-1 dated May 12, 2016)
Item 4.2.a: QMS-related Requirement/s ISO 9001-certified QMS <u>or</u> an Operations Manual	Item 5.2.b: QMS-related Requirement/s ISO 9001-certified QMS <u>or</u> ISO-aligned QMS Documentation (i.e., approved Quality Manual and Procedures and Work Instructions Manual (PAWIM), including Forms)
Item 5.3: Posting in the Agency Transparency Seal	Item 6.2.h: Posting in the Agency Transparency Seal
Item 9.0: Validating Agency GQMC, together with DBM-OCIO	Item 11.0: Validating Agency GQMC, together with DBM-OCIO
Item 13.0: Validation Period October 1, 2015 to January 15, 2016 (extended until April 28, 2016)	Item 15.0: Validation Period October 1, 2016 to January 15, 2017

Coverage of the PBB*

	DEPARTMENTS & ATTACHED AGENCIES	139
	CONSTITUTIONAL OFFICES	5
	OTHER EXECUTIVE OFFICES (OEOs)	37
	STATE UNIVERSITIES & COLLEGES (SUCs)	113
	GOVERNMENT OWNED OR CONTROLLED CORPORATIONS (GOCCs) under DBM	15
	GOCCs under GCG & PCGG	109
	LOCAL WATER DISTRICTS (LWDs) - CATEGORY A & B ONLY **	84
TOTAL		502

* Per Annex 1 (Master List of Departments/Agencies) of AO 25 IATF MC No. 2016-1 dated May 12, 2016

** Per LWUA's Water District Categorization List (as of December 2015)

GQMC Memorandum Circular No. 2016-1 dated December 7, 2016



Salient Features:

- ✓ Guidelines on Determining Compliance with the Establishment of a Quality Management System (QMS) (**Item 4.0** of the GQMC MC)
- ✓ Prescribed Contents and Suggested Outline of the ISO 9001-Aligned QMS Documents (**Annex** to the GQMC MC)

GQMC MC 2016-1 Guidelines in Determining Compliance with the Establishment of a QMS




CHECKLIST
FOR ISO 9001 CERTIFIED AGENCIES

<input checked="" type="checkbox"/>	<u>Adoption of and certification to ISO 9001 (2008 or 2015 version) standards</u>
<input checked="" type="checkbox"/>	<u>Posting of copies of all ISO 9001 certificates in the Transparency Seal</u>
<input checked="" type="checkbox"/>	<u>Validity of ISO 9001 certificates must be until Dec. 31, 2016 or a later date</u>
<input checked="" type="checkbox"/>	<u>Certified by any international CB included in the updated ISO Registrars List</u>
<input checked="" type="checkbox"/>	<u>Certification scope covers at least one core process or frontline service</u>

COMPLIANT

GQMC MC 2016-1 Guidelines in Determining Compliance with the Establishment of a QMS



CHECKLIST
FOR AGENCIES WITH ONGOING ISO 9001 CERTIFICATION EFFORTS

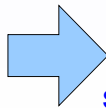
<input checked="" type="checkbox"/>	<u>Adoption of ISO 9001 (2008 or 2015 version) standards</u>
<input checked="" type="checkbox"/>	<u>Posting of complete ISO 9001-aligned QMS Documents in the Transparency Seal</u>
<input checked="" type="checkbox"/>	<u>QMS Documents are duly approved by the Agency Head/Governing Body</u>
<input checked="" type="checkbox"/>	<u>The scope of QMS Documents covers at least one core process or frontline service</u>

COMPLIANT

GQMC MC 2016-1 Guidelines in Determining Compliance with the Establishment of a QMS

ADDITIONAL REQUIREMENT FOR ISO 9001 CERTIFIED AGENCIES

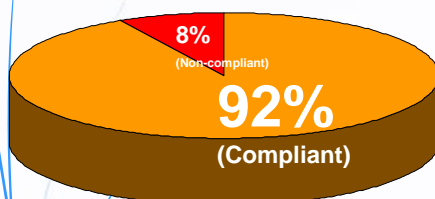
A **certified-true copy** of the Agency's ISO QMS Certificate/s shall be submitted to the GQMC, thru its **DBM Secretariat - Systems and Productivity Improvement Bureau**, not later than December 31, 2016 for verification purposes.



GQMC DBM Secretariat
Systems and Productivity Improvement Bureau
 2nd Floor, DBM Building I, Gen. Solano St.,
 San Miguel, Manila 1005
 735-1976 | 735-1978 (Telefax)
 791-2000 loc. 1227/1230

Compliance Rate

**FY 2015
(FINAL)**

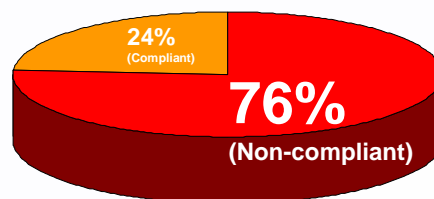


Source: AO 25 IATF Secretariat Report

Requirement:

ISO QMS Certification or
 Operations Manual

**FY 2016
(as of December 6, 2016)**



Source: GQMC Secretariat Validation Results

Requirement:

ISO QMS Certification or ISO
 9001-Aligned QMS Documents

Compliance Rate (as of December 6, 2016)

CATEGORY	Compliant		Non-Compliant		TOTAL
	No.	%	No.	%	
Departments/Attached Agencies	52	37.41	87	62.59	139
Constitutional Offices	1	20.00	4	80.00	5
Other Executive Offices	7	18.92	30	81.08	37
State Universities and Colleges	21	18.58	92	81.42	113
GOCCs under DBM	2	13.33	13	86.67	15
GOCCs under GCG and PCGG	33	30.28	76	69.72	109
Local Water Districts (A and B)	5	5.95	79	94.05	84
TOTAL	121	24.10	381	75.90	502

Major Reasons for Non-Compliance

- ❖ Posted Operations Manual only (162 agencies)
- ❖ No ISO 9001 QMS Certificate nor ISO-aligned QMS Documents posted in the Transparency Seal webpage (82 agencies)
- ❖ No existing website/Transparency Seal webpage, or the website/Transparency Seal webpage/link to ISO QMS requirement is unavailable, cannot be accessed or under maintenance (71 agencies)
- ❖ Posted documents which are alleged to be ISO QMS Certifications or QMS Documents (e.g., Audit Summary Report, PAB Certificate of Accreditation, Student Handbook, Contract with DAP re: Technical Assistance for Establishment of QMS) (27 agencies)
- ❖ Incomplete or unapproved QMS Documents (24 agencies)
- ❖ Expired ISO 9001 QMS Certifications by December 31, 2016 (12 agencies)

THANK YOU!

GQMC DBM Secretariat
Systems and Productivity Improvement Bureau
2nd Floor, DBM Building I, Gen. Solano St.,
San Miguel, Manila 1005
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791-2000 loc. 1227/1230

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